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Transmitted herewith for filing is the Patent Application of:

Inventors(s): Iwao Inagaki, et al

Client Server System and Method for Executing an Application Utilizing Distributed Objects

#### Enclosed are

- informal drawings. 32 pages of specification, including 15 claims, plus 21 sheets of
- An assignment of the invention to International Business Machines Corporation, Armonk, New York X 10504.
- A certified copy of a/an Japanese S.N. 11-X application. 260355, filed 9/14/99
- Declaration and Power of Attorney. X
- X PTO-1449 & references
- X A return post card

Filing Fee Calculation (For Other Than Small Entity)

Basic Fee:						\$690.00
Claims Fees:	Filed	Limit	Extra		Rate per Extra	
Total claims:	15	20	0		\$18.00	\$0.00
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Catherine M. Robbins

A. Bruce Clay

Attorney of Record Reg. No.

32,121

Date:

August 29, 2000

IBM Corporation T81/062 Intellectual Property Law

PO Box 12195

Res. Tri. Park, NC 27709

Telephone: 919-254-6717

FAX 919-254-4330

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# CLIENT SERVER SYSTEM AND METHOD FOR EXECUTING AN APPLICATION UTILIZING DISTRIBUTED OBJECTS

## Field of the invention

The present invention relates to a client server system which executes an application utilizing distributed objects.

# Background of the Invention

Recently, application forms based on Web browsers have been rapidly increasing, and the distributed object use has largely changed. Specifically, the method of creating an object on a server directly from a client was previously dominant, but, at present, an application model has been spread in which objects are created on other servers using the distributed object technology on a Web server or a Web application server. Actual implementation examples include the combination of ASP and MTS (trademarks of Microsoft Corporation), or the combination of WebSphere and ComponentBroker (trademarks of IBM Corp.).

Distributed objects are made from data, applications, or processes and the like, and can be accessed from any place in a network and used for tasks. More specifically, they enable an object oriented method call to be remotely operated, and constructed as middleware implementing an object-oriented programming interface in the upper layer of the socket level. In the distributed object programming technique, an object in a remote machine appears in the local machine as if it were a mirage, and the remote object can be freely manipulated by operating on it. The distributed object mirage is called a proxy object (agent object), which is apparently the same as the object on the remote machine (actual object:

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remote object), but it exists as an agent rather than a real object, and the first access point for the client is a Web server instead of the actual server.

When the distributed object environment is used, the object creation process is the highest software cost. As a matter of fact, the creation process of the remote object of the distributed object is known for taking longer than any other process, and the key to shortening overall response time is how fast the object creation process is made. If the object pooling technique in a database management system, as described in Published Unexamined Patent Application No. 10-11339, is redirected to create objects prior to the access from a client, and an object can be passed from the pool when the object creation is requested from the client, then the response time can be shortened.

However, since the existing pooling technique, as described in the above-mentioned publication, is a mechanism in which, upon receipt of the request from a client, those which have already been used are pooled, the pooling mechanism is not effective when starting up the server, and thus it is difficult to achieve the determined response time. Further, in a database system in which the request from a client is clear, as in the existing technique, it is possible to simply create a predetermined object at the initialization. However, in an environment in which the object to be created is not known until the time of execution, such as a distributed object rather than the predetermined object as in the database, a sufficient effect cannot be obtained by only implementing the existing pooling mechanism.

Further, in the conventional object pool, the pooling is performed at the request of releasing to a created object, and then, an object in the pool is assigned to the client at the request of creation to the same class, thereby increasing the performance, but valid objects are not always pooled. Specifically, if proxy objects are pooled in an application utilizing distributed objects, proxy objects which have already become invalid on the server may be

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assigned at the request of object creation from the client. If such inconsistency occurs, an error is produced, for instance, when the client uses an object which has already become invalid, and the object pool becomes meaningless. It is possible to keep the validity of an object by setting an expiration date for each object, and automatically deleting objects which are not used for a certain period of time, but, with this function, there still remains a risk of deleting valid objects from the pool.

There is also an implementation form of an object pool which takes the above problems into consideration to provide a function in which a specified method is called out when releasing an object, and in this method, control can be made as to whether or not the object can be pooled according to the logic implemented by the user. This method is fully flexible in that the user can freely control the movement of an object. However, the burden on the user is much larger, and the process is always routed through that logic which increases the amount of processing. Furthermore, since behavior at the time of using the pool is built in as the implementation of objects, it is difficult to control the operation when no object exists, such as the time of starting up an application.

#### Summary of the invention

The present invention was made to solve the above-mentioned technical problems, and its object is to shorten the response time when an object creation is requested from a client for the first time after start-up of the server.

Further, it is another object to increase the utility of the object pool by synchronizing an application and the object pool.

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Under such objects, the present invention is a client server system using distributed objects, comprising: a client connected to a communication network, and for performing an access request to an object; an application server for performing an application by an actual object according to the access request by the client; and an object pool server connected to the client through the communication network and connected to the application server, and for pooling a proxy object corresponding to the actual object and holding actual object management information that is the information on the actual object, wherein the application server notifies the object pool server of an event according to a change in the status of the application, and the object pool server automatically updates the actual object management information according to the notification of the event from the application server.

If it is characterized in that the event notified from the application server is formed according to at least one of the result of a process of starting a project and the result of a process of stopping the project, it is preferred also in the point that the risk of assigning an object which actually is not usable to a client can be excluded.

The process of stopping the project also includes the stopping process by abnormal termination of the project, as well as that related to the request to stop the project by the user of the application server.

Further, it is not always required that the application server and the object pool server are in different housings, but they may belong to the same housing.

Further, the present invention is an object pool using distributed objects, comprising: a client request analyzing unit for analyzing the access request to an object; an object information storage unit for storing the object information at the termination process of the object pool; an object creating unit for creating an object at the starting process of the object pool

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according to the object information stored by the object information storage unit; and an object managing unit for pooling the object created by the object creating unit before accessing the object from the client.

If it is characterized in that the object information stored by the object information storage unit is configured so that it can be at least recognized to be the last accessed object, and the object creating unit starts creation from the last accessed object, then it is particularly effective as an object pool since the last accessed object is very likely used again.

If the object information is configured so that it is given priority, it is preferred in the point that objects can be dynamically pooled. As the priority, any of the last access time, the number of accesses (frequency) or the like can be selected depending on the implementation form.

Further, the client server system using distributed objects of the present invention comprises: an object pool connected through a communication network to a client which sends an access request to an objects, and for pooling objects and managing the object information; and an application execution environment connected to the object pool for executing an application according to the access request of the client, and notifying the object pool of an event according to the status change of the application, wherein the object pool updates the object information according to the event notification from the application execution environment.

It can also be characterized in that an object pool server having the function of the object pool and an application server in the application execution environment are connected to each other through a network or the like, and the object pool server pools objects as proxy objects.

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Further, the object pool and the application execution environment are formed on the same server.

Further, the present invention is an object pooling method for pooling objects in advance on a specified server to execute an application in a distributed system, comprising the steps of: storing object information when the process of the server is terminated; creating objects according to the object information when the process of the server is started; and pooling the created objects.

If it is characterized in that the object information is stored with a predetermined priority, and the objects are created in descending order with respect to priority, it is preferred in the point that the user's constant demand for the response time can be satisfied.

As the use of this priority, for instance, it is possible to remove the object of the lowest priority from the pool and actually delete it when the number of managed free objects reaches the maximum pool number. Further, if it is constructed so that at the starting process of the server process, objects are created from the data reserved at the time of termination, in descending order with respect to priority, until the initial pool number is reached, then it is excellent in the point that the determination of objects to be used by a client can be dynamically performed to shorten the response time for the client request. Further, the present invention is an object pooling method on a client server system which is connected through a communication network to a client which sends an access request to an object, and is connected to an application execution environment in which an actual object is executed, the object pooling method comprising the steps of: pooling a proxy object corresponding to an actual object in the application execution environment; storing the management information on the actual object; recognizing a change in the status of the

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actual object in the application execution environment; and updating the stored actual object management information according to the recognized status change. Specifically, if it is configured so that the status change can be recognized by an event which is an asynchronous signal, it is preferred in the point that synchronization can be established between an application on the server and an object in the object pool with a minimum cost.

Further, the present invention is a storage medium in which a program to be executed by a computer is stored so that it can be read by the input means of the computer, wherein the program makes the computer execute: a monitoring process for monitoring the execution status of a project which executes an application utilizing distributed objects; an event creating process for creating an event according to the execution status of the project monitored by the monitoring process; and an event issuing process for issuing the event created by the event creating process.

If it is characterized in that the event issuing process issues an event to an object pool server connected through a network or the like, a series of processes can be inputted using the storage medium, for instance, on the application server executing an application, and thus it is excellent in the point that an application on the server can be synchronized with an object in the object pool with a minimum cost. In addition, the storage medium includes those for selling software, whatever types of them, such as CD-ROM and floppy disk.

Further, the present invention is a storage medium in which a program to be executed by a computer is stored so that it can be read by the input means of the computer, the computer being connected through a network or the like to an application server for executing an application utilizing distributed objects and to a client which requests the execution of the application, wherein the program makes the computer execute: an object pooling process for pooling the proxy objects corresponding to the actual objects to be executed by the

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application server: and an updating process for receiving the event issued from the application server, and updating the management information on the actual object according to the event. It is excellent in the point that it is possible to easily execute the software for the object pool server.

Further, if it is characterized in that the computer is made to further execute: an execution status managing process for keeping track of the execution status of the objects, and managing them for each object: a request analyzing process for analyzing a request including an object creation request and/or an object deletion request from the client: and a process for executing, upon receipt of the result of the request analysis by the request analyzing process, the creation of an object and/or the deletion of an object according to the management result by the execution status managing process, it is preferred in the point that the status change of an application executed on the application server can be appropriately grasped to automatically update an object in the pool. In addition, any type of storage medium is accepted, as accepted as described above.

Further, when applied to a program sending apparatus, the present invention is characterized by comprising: storage means for storing a software product which makes a computer execute an event forming program for forming an event according to a change in the status of an application utilizing distributed objects, and an object pooling program for pooling objects according to the event formed by the event forming process: and sending means for reading out the program from the storage means, and sending the software product.

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Furthermore, the program sending apparatus of the present invention is characterized by comprising: storage means for storing a program which makes a computer execute an object pooling process for pooling, on a server, objects associated with the execution of an application utilizing distributed objects, an information storing process for storing object information in the server, and a creation sequence determining process for determining the sequence of objects to be created according to the object information stored by the information storing process; and sending means for reading out the program from the storage means, and sending the program. By such program sending apparatus, the technique of the present invention can be provided to users without through a storage medium such as CD-ROM as a form for providing the program.

## Brief Description of the Drawings:

For a more complete understanding of the present invention and for further advantages thereof, reference is now made to the following Detailed Description taken in conjunction with the accompanying Drawings, in which:

Figure 1 illustrates the overall configuration of the client server system in an embodiment of the present invention;

Figure 2 illustrates a functional block of the application execution environment;

Figure 3 is a table showing the event notification managing table created in an event creation unit of the present invention;

Figure 4 is a table showing the project execution monitoring table updated in a project execution monitoring unit of the present invention;

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Figure 5 illustrates a functional block of an object pool of the present invention;

Figure 6 is a table showing an active object managing table used in an active object managing unit of the present invention;

Figure 7 is a table showing a free object managing table used in a free object managing unit of the present invention;

Figure 8 is a table showing an object pool property managing table of the present invention;

Figure 9 is a table showing a pool object information stored in an object information storage unit of the present invention;

Figure 10 is a flowchart explaining a start operation of a project;

Figure 11 is a flowchart explaining a stop operation of a project;

Figure 12 is a flowchart explaining an abnormal termination processing operation of a project;

Figure 13 is a flowchart explaining an operation for receiving an event;

Figure 14 is a flowchart explaining a start operation of an object pool;

Figure 15 is a flowchart explaining a termination operation of an object pool;

Figure 16 is a flowchart explaining operation of an object creation request from a client;

Figure 17 is a flowchart explaining an object deletion request from a client;

Figure 18 is a flowchart explaining a method call processing request from a client;

Figures 19(a) and 19(b) show an example of each table entry prior to processing an object deletion request;

Figures 20(a) and 20(b) show an example of each table entry prior to processing an object deletion request;

Figure 21 illustrates an example of an application system in accordance with the present invention;

Figure 22 is a drawing showing the picture image of a client application;

Figure 23 explains an overall configuration of the client server system in accordance with the present invention; and

Figure 24 explains a functional block of an object pool.

# Detailed Description of the Invention

#### Embodiment 1

Figure 1 illustrates the overall configuration of the client server system in this embodiment. In the same drawing, reference numeral 11 indicates a client, which issues various requests such as an object creation request and an object deletion request, and the client program requests execution of service by performing a method call to an object. Further, reference numeral 20 indicates an application server as an application execution environment. Reference numeral 30 is an object pool, which is connected to the client 11 via a WAN (Wide Area Network) or a LAN (Local Area Network), and is also connected to an application server 20. An actual object 16 exists in the application server 20, and a proxy object 17 is an agent object for the actual object 16 which exists in the object pool 30.

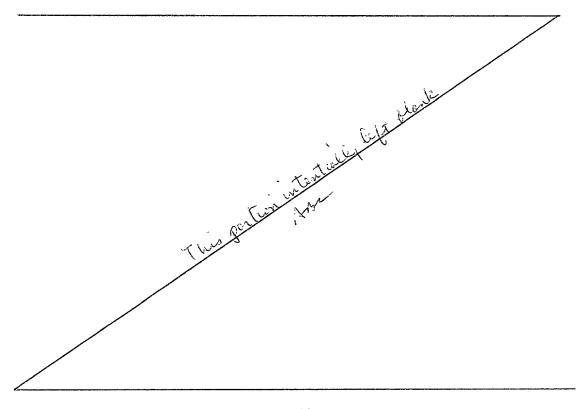
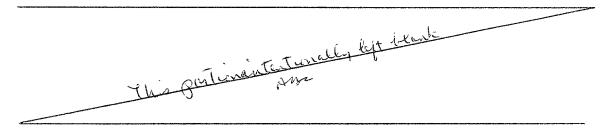


Figure 2 illustrates a functional block of the application server 20 which is an application execution environment. The project shown in the same figure is an execution unit for a collection of applications and is constructed so that applications can be executed by a single or a plurality of objects. An event creation unit 21 creates an event according to the execution status of the project, and issues an event to an event processing unit 31 (described later) of the object pool 30, and a user input processing unit 22 analyzes the contents of a request from a user, who is the operator of the application server 20. An event is an asynchronous signal for the event supplier to communicate a certain phenomenon to a consumer. Further, a project start processing unit 23 initiates the start-up of the project upon request of the user input processing unit 22. A project stop processing unit 24 executes a termination process of the project. A project execution monitoring unit 25 monitors execution of the project, and asks the event creation unit 21 to create an event. Further, a project execution control unit 26 executes the preprocessing or postprocessing of the project, and performs the overall control among each project.

Figure 3 shows an event notification managing table created in the event creation unit 21. The event notification managing table forms a table which enables the correspondence between an object pool ID which is the ID of the object pool 30, a host name which is the name of the object pool 30, and an event filter. The event filter shows which object pool 30 an event is issued depending upon a status change having occurred in the application. For instance, if it is "All," it is controlled to issue an event when any status change has occurred, if it is "Only Error," it is controlled to issue an event for an error condition, and if it is "Warning," it is controlled to issue an event for an unexpected warning condition. Based on the information registered in the event managing table, the event creation unit 21 issues an event to a predetermined object pool 30 for a predetermined status change.

Figure 4 shows a project execution monitoring table updated in the project execution monitoring unit 25. The project execution monitoring table provides correspondence between a project ID which is the ID of a project to be executed in the application server 20, a project name which is the name of the project, and execution status in the project. The project execution monitoring table is constructed so that it is updated according to the result of the starting process of the project from the project start processing unit 23, and the issuance of an event by the event creation unit 21 is enabled according to the updated project execution monitoring table.

Figure 5 explains the functional block of the object pool 30. In the Fig. 5, an event processing unit 31, an object managing unit 32, and the event processing unit 31 notify the object managing unit 32 of a change of the object execution status according to the event notified from the event creation unit 21 of the application server 20. Further, an active object managing unit 33 manages objects already assigned to the client 11. A free object managing unit 34 manages objects which are not assigned to the client 11. Further, an object information storage unit 35 consists of a hard disk or the like, and for example, it reads the object information at the termination of the object pool 30 and stores it. Furthermore, a start processing unit 36, and a termination processing unit 37 respectively perform the reading or storing of the object information from the object information storage unit 35 at the starting (at the initial time) or termination time of the object pool 30.



Further, in Figure 5, is a client request analyzing unit 44 analyzes the contents of a request from the client 11, and an object creation processing unit 42 performs a request to acquire an object to the object managing unit 32 at the request of the client request analyzing unit 41. A method call processing unit 43, which upon receipt of a request to process a method call from the client 11, demands the object requested for processing from the object managing unit 32 at the request of the client request analyzing unit 41. Further, an object deletion processing unit 44 asks the object managing unit 32 to delete the object at the request of the client request analyzing unit 41. The request from the client 11 received by the client request analyzing unit 41 can be constructed, for instance, so that when a specified client 11 opens a Web homepage, or downloads a homepage, a predetermined program is invoked, and after a request to create an object is issued and, for instance, an applet operates on the Web page, the object pool 30 on the server is requested to create an object. The request from the client 11 depends on the implementation form, and for instance, the implementation can be provided in a form in which an object creation button is provided on the order form screen shown in Figure 22 to be described later.

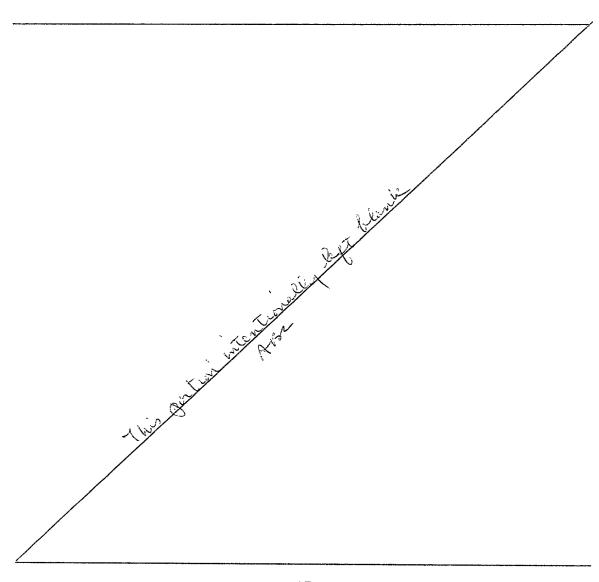
Figure 6 shows the active object managing table used in the active object managing unit 33. In this table, object ID shows the ID of an object already assigned to the client 11. Further, class name shows a so-called class defining the function or nature of an object for each object. Furthermore, server name is to manage the application server 20 for which the object is performed on an object basis, and project name correspondingly manages projects which are an execution unit for a collection of applications. In addition, the item of execution status manages the execution status of an object after being assigned to a client, and the object belonging to the project notified from the event processing unit 31 is selected and updated by the object managing unit 32, and the updated contents are registered in the item.

Figure 7 shows the free object managing table used in the free object managing unit 34, and objects, which are already created but not used by any client, are managed by this table. The priority in this table is determined by the last access time of the object or the like, and it is an important factor in the dynamic object pool formation under the distributed object environment, for instance, when object information is stored in the object information storage unit 35 with priority at the time of terminating processing of the object pooling by the termination processing unit 37, or the initial pooling is performed in descending order at the time of start processing of the object pooling. Further, this priority is also used to start deletion of an object with the lowest priority when the number of free objects reaches the maximum pool number. Further, object ID shows the ID of an object which was already created, but not assigned to the client 11, and class name shows the class of the object. Further, server name manages the application server 20 for which an object is executed, and project name correspondingly manages the project of it. Execution status shows the execution status on the application server 20. As the management of the execution status, it is possible to perform fine status management not only during a halt but also a recovery process, a temporary halt or the like.

Figure 8 shows an object pool property managing table for managing various information on the object pool. In this table, the maximum pool number and the initial pool number are managed as property, and these numbers allow the deletion of pooled objects or the creation of objects at the initial time to be dynamically controlled.



Further, Figure 9 shows the pool object information stored in the object information storage unit 35. The class name of an object, the server name of the executing application server 20, and the project name to be performed in the server are, respectively, stored along with priority. According to the stored information, a dynamic object pooling is performed at the initial time, which is the time of start processing. By managing the object information with various table structures, the data model is easy to understand, and retrieval speed can be increased.

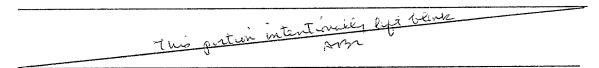


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Now, the operation of starting a project in the application server 20 that is an application execution environment is described using the flowchart of Figure 10. First the user input processing unit 22 analyzes the contents of the request input by the user of the of the application server 20, and requests the project start processing unit 23 to start the project (block 101). Upon receipt of the start request, the project start processing unit 23 initiates the start-up of the project, and notifies the project execution monitoring unit 25 that the project has entered the start processing (block 102). Entering the start processing, the project execution monitoring unit 25 registers the project information showing that the project is under preparation in the above-mentioned project execution monitoring table, and asks the event creation unit 21 to create an event (block 103). According to the information registered in the above-mentioned event notification managing table, the event creation unit 21 issues the event of "project under preparation" to the event processing unit 31 of the object pool 30 requiring an event issuance (block 104). On the other hand, when the start processing of the project is completed, the project start processing unit 23 notifies the project execution monitoring unit 25 of the result of the processing (block 105). According to the result of the project start processing received from the project start processing unit 23, the project execution monitoring unit 25 updates the project execution monitoring table, and asks the event creation unit 21 to create an event (block 106). According to the information registered in the event notification managing table, the event creation unit 21 issues, to the event processing unit 31 of the object pool 30, an event of "project under execution" (if the start processing of the project succeeds) or of "project is stopping" (if the start processing of the project does not succeed) (block 107), and the project start operation is terminated. The stop operation of a project in the application server 20 is now described in conjunction with the flowchart of Figure 11. First, the user input processing unit 22 analyzes the contents of the request input by the user of the application server 20, and requests the project stop processing unit 24 to stop the project (block 111). Upon receipt of this request, the project stop processing unit 24 starts the project stop processing, and notifies the project execution

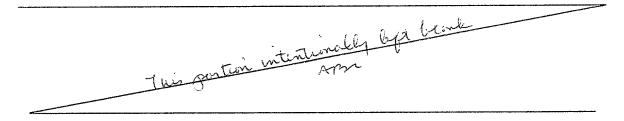
monitoring unit 25 that the project has entered the stop processing (block 112). The project execution monitoring unit 25, having received the notification, updates the execution status of the project registered in the above-mentioned project execution monitoring table to "under stop processing," and asks the event creation unit 21 to create an event (block 113). The event creation unit 21 having received this request issues, to the event processing unit 31 of the object pool 30, an event of "under project stop processing" according to the information registered in the event notification managing table (block 114). When the project stop processing is completed, the project stop processing unit 24 notifies the project execution monitoring unit 25 of the result of it (block 115). Having received this notification, the project execution monitoring unit 25 updates the project execution status registered in the project execution monitoring table to "stopping," and asks the event creation unit 21 to create an event (block 116). Having received this request, the event creation unit 21 issues an event of "project is stopping" to the event processing unit 31 of the object pool 30 according to the information registered in the event notification managing table (block 117), and the series of stop operations is terminated.

The abnormal termination processing operation of a project in the application server 20 is described using the flowchart of Figure 12. If a project abnormally terminates for some



reason, the project execution monitoring unit 25 detects the abnormal termination of the project, and requests the project stop processing unit 24 to start the abnormal termination processing of the project. Simultaneously, it updates the project execution status registered in the above- described project execution monitoring table to "under abnormal termination processing," and asks the event creation unit 21 to create an event (block 121). According to the information registered in the event notification managing table, the event creation unit 21 issues an event of "project under abnormal termination processing" to the event processing unit 31 of the object pool 30 (block 122). On the other hand, when the project abnormal termination processing is completed, the project stop processing unit 24 notifies the project execution monitoring unit 25 of the result (block 123). Upon receipt of this notification, the project execution monitoring unit 25 updates the project execution status registered in the project execution monitoring table to "stopping," and asks the event creation unit 21 to create an event (block 124). Having received this request, the event creation unit 21 issues an event of "project is stopping" to the event processing unit 31 of the object pool 30 according to the information registered in the event notification managing table (block 125), and the abnormal termination processing operation of the project is terminated.

As described above, an event is formed in the application server 20 which is an application execution environment, and the operation for the event receiving in the object pool 30 is described using the operation flow of Figure 13. The object pooled in the object pool 30 is the proxy object 17, but the description is made using the word "object" for convenience.



According to the event notified from the event creation unit 21 of the application server 20, the event processing unit 31 in the object pool 30 notifies the object managing unit 32 of the change of the object execution status (block 131). Upon receipt of this notification, the object managing unit 32 selects the object belonging to the project notified from the event processing unit 31 among the objects registered in the respective object managing tables for the active object managing unit 33 and the free object managing unit 34, and updates the execution status of it (block 132).

As described above, in accordance with this embodiment, when the status of an application executed in the application server 20 changes, the application server 20 itself notifies the object pool 30 of an event, whereby the status of the application server 20 can be grasped in the object pool 30, and pooled objects can be automatically updated. As a result, the status change of an application executed in the application server 20 can be promptly accommodated, and it is possible to avoid the risk of assigning an object which is actually not usable to the client 11. In other words, the object pool 30 according to this embodiment not only simply pools objects, but also can always pool valid objects by grasping the status of the application on the application server 20. Thus, if an access request is made from the client 11 for an object which became invalid at a certain point of time, the information on the current objects can be directly communicated to the client 11 from the object pool 30, and a redundant processing such as access to the application server 20 can be omitted to allow the object pool 30 to be utilized more effectively.

The start operation (at the initial time) of the object pool 30 in this embodiment is now described using the flowchart of Figure 14. First, the start processing unit 36 of the object

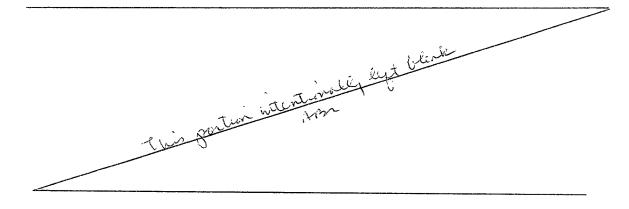
pool 30 reads the pool object information saved at the time of termination from the object information storage unit 35, and requests the object managing unit 32 for the creation of an object according to the priority assigned to the object (block 141). The object managing unit 32 having received this creation request creates the corresponding object according to the creation request from the start processing unit 36, and notifies the free object managing unit 34 of the information on the created object (block 142). Having received this notification, the free object managing unit 34 registers the created object in the above-described free object managing table according to the information received from the object managing unit 32 (block 143).

The termination operation of the object pool 30 is described using the flowchart of Figure 15. First, the termination processing unit 37 of the object pool 30 notifies the object managing unit 32 and the client request analyzing unit 41 of the starting of the termination processing (block 151). The client request analyzing unit 41, having received this notification of the starting of the termination processing rejects the processing request from the client 11 thereafter (block 152). Then, the object managing unit 32 acquires the object information in the free object managing table from the free object managing unit 34, and transfers it to the termination processing unit (block 153). The termination processing unit 37 stores the object information received from the object managing unit 32 in the object information storage unit 35 so that it is not erased even after the termination of the process (block 154). The object information to be stored has such contents as shown in Figure 9 described above, and according to the priority shown in Figure 9, the start operation described in Figure 14 is carried out, and objects are created, for instance, in descending order with respect to priority.

As described above, according to the termination operation and start operation of the object pool 30 in this embodiment, even in a distributed object which is not definite unlike a database, objects can be dynamically pooled, for instance, based on priority, and an effective object pool can be provided to an object which cannot be routed when pooled and is not clear until it is executed.

Operation for the object creation request from the client 11 in this embodiment is described using the flowchart of Figure 16. First, the client request analyzing unit 41 of the object pool 30 analyzes the contents of the request from the client 11, and asks the object creation processing unit 42 for the processing (block 161). The object creation processing unit 42, having received this request, transfers the server name, project name, and class name of the object requested to be created to the object managing unit 32, and requests the acquisition of the object (block 162). Having received this request, an object managing unit 32 requests the free object managing unit 34 to acquire the object of the corresponding class (block 163). If an object of the requested class exists in the free object managing table, the free object managing unit 34 having received this acquisition request checks the execution status of the object, and passes the object to the object managing unit 32 if it is available, and deletes the items of the object existing in the free object managing table. If the requested object is existing in the free object managing table, but it is not available, then the unit 34 notifies the object managing unit 32 of that (block 164). If the object managing unit 32 cannot obtain the corresponding object from the free object managing unit 34, it newly creates an object. It requests the active object managing unit 33 to add the information on the object obtained from the free object managing unit 34 or on the newly created object to the active object managing table, and returns the requested object to the object creation processing unit 42. If notified from the free object managing unit 34 that the object is not available, it does not create a new object and returns the fact to the object creation processing unit 42 (block 165), and the series of operations is terminated.

The operation for the object deletion request from the client 11 is described below using the flowchart of Figure 17. First, the client request analyzing unit 41 of the object pool 30 analyzes the contents of the request from the client 11, and asks the object deletion processing unit 44 for the processing (block 171). Having received this request, the object deletion processing unit 44 transfers the object requested to be deleted to the object managing unit 32, and requests the deletion of the object (block 172). The object managing unit 32 having received this request asks the active object managing unit 33 to delete the requested object from the active object managing table, and asks the free object managing unit 34 to add the object to the free object managing table (block 173). Having received this request, the free object managing unit 34 adds the information on the particular object to the free object managing table according to the request from the object managing unit 32. Subsequently, it compares the number of free objects with a predetermined maximum pool number (Figure 8), and if the number of free objects exceeds the maximum pool number, it removes the object of the lowest priority from the pool, and requests the object managing unit 32 to delete the object (block 174). According to the object deletion request from the free object managing unit 34, the object managing unit 32 deletes the object (block 175), and the operation for the deletion request is terminated.



The operation for the method call processing request from the client 11 is now described using the flowchart of Figure 18. First, the client request analyzing unit 41 of the object pool 30 analyzes the contents of the request from the client 11, and asks the method call processing unit 43 for the processing (block 181). Upon receipt of this request, the method call processing unit 43 requests the object managing unit 32 for the object requested to be processed (block 182). The object managing unit 32, having received the request for processing, acquires the requested object from the active object managing unit 33, and passes it to the method call processing unit 43. At this point, the active object managing unit 33 checks the active object managing table, and also returns the execution status of it to the method call processing unit 43 (block 183). The method call processing unit 43 checks the execution status of the object received from the object managing unit 32, and if possible, it makes the object perform the method, and returns the result to the client 11. If the object is not executable, it promptly notifies the client 11 of an error (block 184), and the series of operations for the method call processing request is terminated.

In the termination operation of the object pool in this embodiment (Figure 15), as to the object related to a project whose execution status in the free object management table (Figure 7) is "stopping," and which is not started again, whether or not the project is to be erased is determined by the implementation form of it. However, if the object is erased from the free object management table when the project is completely terminated, an object creation is requested to the application server 20 executing an application when a request is received from the client 11, and an error is returned to the client 11 after waiting for the error response from the application server 20. On the other hand, if such object is not erased, an error can be returned to the client 11 without waiting for the response from the application server 20, so it is excellent in the point that a prompt response is provided.

Now, the update operation of priority in this embodiment is described using Figures 19 and 20. Figure 19 (a) and Figure 19 (b) show an example of each table entry before the object deletion request processing, and Figure 20 (a) and Figure 20 (b) show an example of each table entry after the object deletion request processing. First, the operation for the object deletion request from the client 11 is described. As shown in Figure 19 (a) and Figure 19 (b), it is assumed that, for instance, at a certain point of time, an object X having a class name X exists in the active object managing table, while objects A and B having class names A and B, respectively, exist in the free object managing table. If the client 11 requests the deletion of the object X, the object deletion processing unit 44 shown in Figure 5 asks the object managing unit 32 to process it. Having received this request, the object managing unit 32 orders the active object managing unit 33 and the free object managing unit 34 to move the object X (Figure 19 (a)) existing in the active object managing table to the free object managing table. At this point, if the last access time to the object is employed as the technique for calculating the priority, the free object managing unit 34 allocates the highest priority to the object X, and the priorities of the objects A and B are decreased, respectively. As a result, the active object managing table becomes as shown in Figure 20 (a), and the free object managing table has the contents as shown in Figure 20 (b).

The priority determining operation for the event receiving in the object pool 30 is described below by taking an example. According to the event notified from the event processing unit 31, the object managing unit 32 of the object pool 30 requests the active object managing unit 33 and the free object managing unit 34 to update the tables managed by them, respectively. At this point, the free object managing unit 34 changes the priority as well as the execution sequence. On the other hand, since the information on the priority is not held in the active object managing table, the active object managing unit 33 updates only the execution status of the object in the application execution environment (application server 20).

As the update of priority, for instance, if an event such as stop, temporary stop, or busy of a project is received, the one lowering the priority of the object is given. Further, for instance, an event such as completion of the start processing, or completion of execution preparation of a project is received, it is possible to provide a configuration so as to increase the priority of the object. In accordance with this embodiment, depending on the implementation form, priority can also be dynamically updated for events of various contents other than those given here, an effective object pool can be constructed by effectively determining the object which would be used by the client 11 at the start-up time when the object pool 30 is booted up.

Figure 21 shows an example of the application system using this embodiment. An application server 71 is an application execution environment for executing applications such as order receive and place processing, customer information, and personnel management information. Further, server 72 functions as the object pool in this embodiment, and is usually connected to the application server 71 through a communication network 74 such as LAN. A client 73 asks the server for a processing by requesting a desired activity, for instance, in the form of method, and receives the result of it, and is connected to the server 72 through a communication network 75 formed from WAN or LAN.

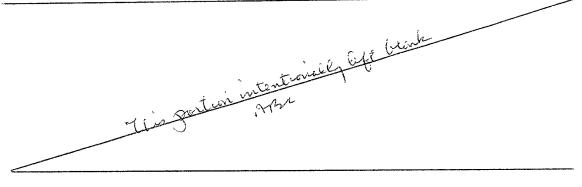
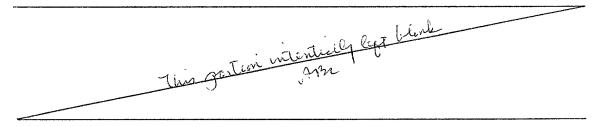


Figure 22 illustrates an example in which one of the clients 73 orders an office product, such This application is an ordinary order receive and place as stationary, over the Web. processing program which allows goods to be ordered through the Web. First, the client 73 specifies a create object, namely, which server's order receive class (of the order receive processing work) is related to the desired object. This client can communicate with the server by using, for instance, an applet provided by the application server. An order button 82 existing on the Web page in a display 81 in Figure 22 has a predetermined definition by HTML or JavaScript, and when the user depresses the order button 82, a predetermined function is invoked, and through the server 72, the "order" method defined in the application server 71 executing the order receive and place processing, or a remote server, is executed. The client 73 is configured so that it passes through the server 72 that is an object pool, and casts an inquiry of price or stock, purchase, cancellation, or the like onto the object as methods with respect to the order receive object. Further, in this embodiment, objects are used through the proxy objects pooled in the server 72, and since the server 72 recognizes the status change of the object which executes the project of order receive and place processing, for instance, if an abnormal termination occurs, the client 73 can be fast notified that it is not valid object, and a redundant processing can be omitted.

According to the first embodiment described above, the object pool performs pooling in the form of a proxy object and a separate application execution environment is provided. In another embodiment, the server, which is the application execution environment, functions as the object pool by itself.



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Configurations similar to the first embodiment are assigned similar reference numerals, and the detailed description of them is omitted. Figure 23 explains the overall configuration of the client server system in a second embodiment. An object pool 90 creates an object 91 as an application execution environment by itself, and functions as an effective object pool for a client 11.

Figure 24 explains the functional block of the object pool 90. It has a configuration different from the object pool 30 (Figure 5) in the first embodiment in that it does not include the event processing unit 31.

The flow of each processing is described with reference to Figure 24. First, if an object creation is requested from the client 11, a client request analyzing unit 41 analyzes the type of the request, and asks the object creation processing unit 42 for processing. Having received this request, the object creation processing unit 42 asks an object managing unit 32 to acquire an object. The object managing unit 32 asks a free object managing unit 34, and if there is an object of the corresponding class, the object managing unit 32 passes it, otherwise it creates an object and passes it.

Further, if object deletion is requested from the client 11, the client request analyzing unit 41 analyzes the type of the request, and asks an object deletion processing unit 44 for processing. Upon receipt of this request, the object deletion processing unit 44 asks the object managing unit 32 to delete the object. The object managing unit 32 requests the free object managing unit 34 to register the object as a free object. If the number of free objects has already reached the maximum pool number, it removes the object of the lowest priority from the pool, and actually deletes it. This priority can be determined by the last access time of the object, or the like.

To terminate the server process which is the object pool 90, a termination processing unit 37 obtains from the free object managing unit 34 the list of the type and priority of objects, and saves it in an object information storage unit 35. On the other hand, at the initial time for starting the server process, a start processing unit 36 requests the object managing unit 32 to create an object from the data stored at the time of termination in descending order with respect to priority, until the initial pool number is reached. As a result, in the server under the application execution environment, the object which would be used by the client can be created in advance at the initial time under the application utilizing a distributed object, and it can function as an effective object pool. Specifically, by providing a configuration such that, for instance, the server process is terminated with the priority of the last accessed object being high, and the object creation is made from the last accessed object at the initial time according to that priority, it is possible to create first the last accessed object that is likely used again, so the usefulness of the object pool can be increased.

The software for executing these embodiments can be executed by installing it in each server through a storage medium such as CD-ROM. The installation includes an installation into the server functioning as the object pool 30 and an installation into the application server 20 in the embodiment 1, and includes an installation into the server functioning as the object pool 90 in the embodiment 2. Further, it can also be accomplished by downloading the software through a network or the like without through a storage medium such as CD-ROM except for the case in which it is previously installed in a storage medium such as a hard disk. This needs only a configuration in which storage means for storing a program and sending means for reading out and sending the program are provided in a PC or the like, and upon receipt of a request from another PC connected to the Internet or the like and executing the program, so that it is downloaded to that PC. Moreover, also for each client, the client server system in these embodiments can be formed with a similar program installation technique.

As described above, in accordance with the first and second embodiments, objects can be dynamically pooled when the object pool is booted up, and thus the processing time taken in the creation of objects in an application utilizing distributed objects can be effectively shortened. Further, in accordance with these embodiments, even for a model in which there are several application servers in the back end of the server connected from clients, and actual objects are created in the application servers, the status change of an application being executed in the server can be appropriately grasped, and thus valid objects can always be pooled.

Further, in accordance with the methods of these embodiments, since the managing objects within the application execution environment and the object pool directly execute the transmission and sending of an event, the user need not prepare a program for controlling the pool, and the object pool can also be controlled at the point of time when there is no user object, such as the time of starting up an application. Further, in this case, the event issued between the server and the object pool can be easily notified through a network by using ORB (Object Request Broker) corresponding to the distributed environment such as the event service of CORBA (Common ORB Architecture). By defining an event in an open standard form such as CORBA, interconnectivity can be expected between different vendors.

As described above, in accordance with the present invention, the response time can be shortened when an object creation is requested from a client for the first time after the start-up of the server. Further, keeping track of the status of an application, the usability of the object pool can be increased.

### What is Claimed:

1. A client server system using distributed objects, comprising:

a client connected to a communication network for performing an access request to an object;

an application server for performing an application by an actual object according to the access request by said client; and

an object pool server connected to said client through said communication network and connected to said application server for pooling a proxy object corresponding to said actual object and for holding actual object management information that is the information on said actual object, wherein said application server notifies said object pool server of an event according to a change in status of said application, and said object pool server automatically updates said actual object management information according to the notification of said event from said application server.

- 2. The client server system as set forth in Claim 1, wherein the event notified from said application server is formed according to at least one of the result of a process of starting a project and the result of a process of stopping the project.
- 3. An object pool using distributed objects, comprising:
  - a client request analyzing unit for analyzing an access request to an object;

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an object information storage unit for storing object information at the termination process of said object pool;

an object creating unit for creating an object at the starting process of said object pool according to said object information stored by said object information storage unit; and

an object managing unit for pooling the object created by said object creating unit before accessing said object from said client.

- 4. The object pool as set forth in Claim 3, wherein the object information stored by said object information storage unit is constructed so that it can be at least recognized to be the last accessed object, and said object creating unit starts creation from said last accessed object.
- 5. A client server system using distributed objects, comprising:

an object pool connected through a communication network to a client which sends an access request to an object, and for pooling objects and managing object information; and

an application execution environment connected to said object pool for executing an application according to the access request of said client, and notifying said object pool of an event according to a status change of said application, wherein said object pool updates said object information according to the event notification from said application execution environment.

6. The client server system as set forth in Claim 5, wherein an object pool server having the function of said object pool and an application server in said application execution

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environment are connected to each other through a network or the like, said object pool server pooling objects as proxy objects.

- 7. The client server system as set forth in Claim 5, wherein said object pool and said application execution environment are formed on the same server.
- 8. An object pooling method for pooling objects in advance on a specified server to execute an application in a distributed system, said object pooling method comprising the steps of:

storing object information when a process of said server is terminated; and

creating objects according to said object information when a process of said server is started; and

pooling the created objects.

- 9. The object pooling method of Claim 8, wherein said object information is stored with a predetermined priority, and said objects are created in descending order with respect to said priority.
- 10. An object pooling method on an object pool which is connected through a communication network to a client which sends an access request to an object, and is connected to an application execution environment in which an actual object is executed, comprising the steps of:

pooling a proxy object corresponding to an actual object in said application execution environment;

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recognizing a change in status of the actual object in said application execution environment; and

updating actual object management information according to said recognized status change.

11. A storage medium in which a program to be executed by a computer is stored so that it can be read by an input unit of said computer, wherein said program makes said computer execute:

a monitoring process for monitoring execution status of a project which executes an application utilizing distributed objects;

an event creating process for creating an event according to execution status of the project monitored by said monitoring process; and

an event issuing process for issuing the event created by said event creating process to an object pool server connected through a network or the like.

12. A storage medium in which a program to be executed by a computer is stored so that it can be read by an input unit of said computer, said computer being connected through a network or the like to an application server for executing an application utilizing distributed objects, and to a client which requests the execution of said application, wherein said program makes said computer execute:

an object pooling process for pooling the proxy objects corresponding to actual objects to be executed by said application server; and

an updating process for receiving an event issued from said application server, and updating management information on said actual object according to said event.

13. The storage medium as set forth in Claim 12, wherein said computer is made to further execute:

an execution status managing process for keeping track of execution statuses of said objects, and managing them for each object;

a request analyzing process for analyzing a request including an object creation request and/or an object deletion request from said client; and

a process for executing, upon receipt of a result of a request analysis by said request analyzing process, creation of an object and/or the deletion of an object according to a management result by said execution status managing process.

14. A program sending apparatus, comprising:

a storage unit for storing a software product which makes a computer execute an event forming program for forming an event according to a change in status of an application utilizing distributed objects, and an object pooling program for pooling objects according to the event formed by said event forming process; and

a sending unit for reading out said program from said storage unit, and sending

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said software product.

#### 15. A program sending apparatus, comprising:

a storage unit for storing a program which makes a computer execute an object pooling process for pooling, on a server, objects associated with execution of an application utilizing distributed objects, an information storing process for storing object information in said server, and a creation sequence determining process for determining a sequence of objects to be created according to said object information stored by said information storing process; and

a sending unit for reading out said program from said storage unit, and sending said program.

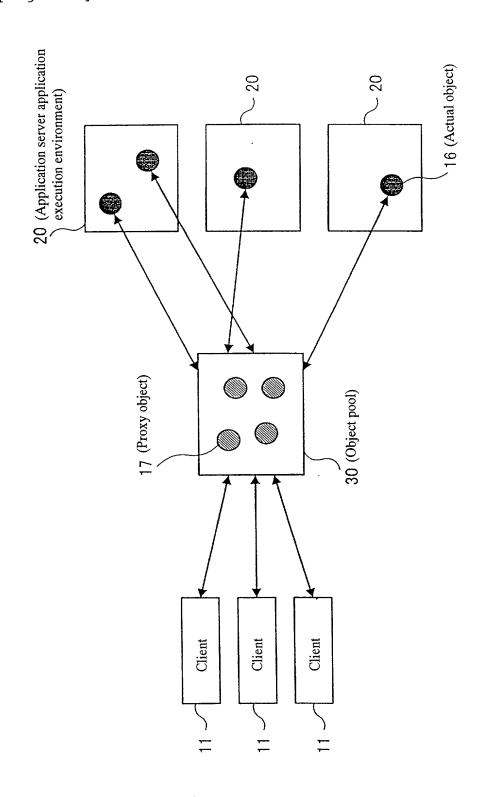
#### **ABSTRACT**

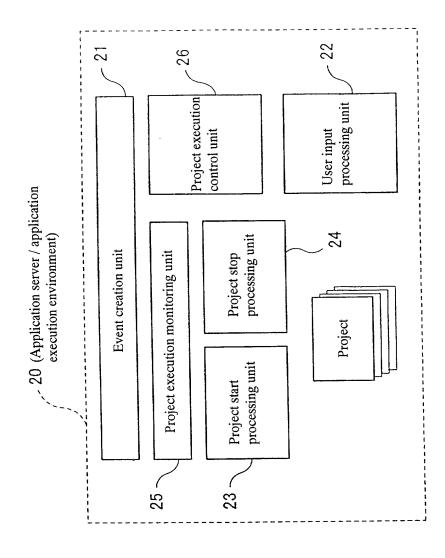
# Client Server System and Method for Executing an Application Utilizing Distributed Objects

A client connected to a communication network performs an access request to an object. An application server performs an application by an actual object according to the client request. An object pool connected to the client and the application server pools a proxy object corresponding to the actual object and holds the actual object management information. The application server notifies the object pool of an event according to the status change of the application. The object pool automatically updates the actual object management information according to the notification of the event from the application server.

[Document type] Drawing [Figure 1]

(1/21)





[Figure 3]

(3/21)

## Event notification managing table

Object pool ID	Host name	Event filter
112233	Host A	All
445566	Host B	Only Error
778899	Host C	Warning

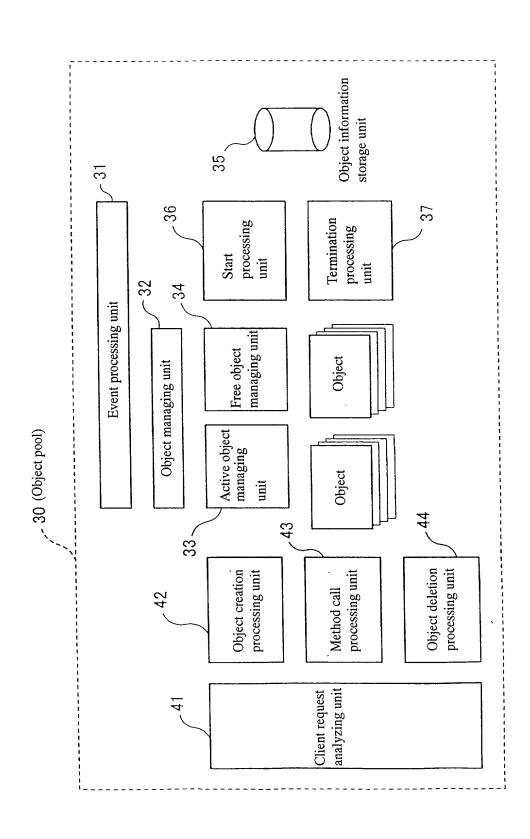
[Figure 4]

## Project execution monitoring table

Project 1D	Project name	Event filter
112233	Project A	Start
445566	Project B	Stopping
778899	Project C	Under execution

[Figure 5]

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[Figure 6]

(5/21)

	Execution status	Under execution	Stopping	Under execution	
	Project name	Project X	Project Y	Project Z	
g table	Server name	Server 3	Server 5	Server 1	
Active object managing table	Object ID Class name	Class A	Class E	Class C	
Active ob	Object ID	112233	445566	778899	-

[Figure 7]

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rree op	Free object managing table	lg table			
Priority	Object ID	Class name	Priority Object ID Class name Server name	Project name	Execution status
-	112233	Class A	Server 3	Project X	Under execution
2	445566	Class E	Server 5	Project Y	Stopping
ო	778899	Class C	Server 1	Project Z	Under execution

[Figure 8]

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## Object pool property managing table

Property	Value
Maximum pool number	500
Initial pool number	100

[Figure 9]

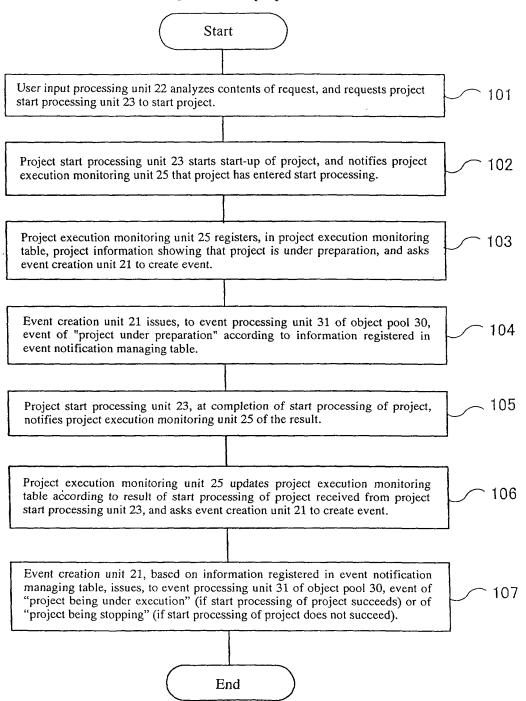
## Pool object information

Priority	Class name	Server name	Project name
1	Class A	Server 3	Project X
2	Class E	Server 5	Project Y
3	Class C	Server 1	Project Z
		•	

[Figure 10]

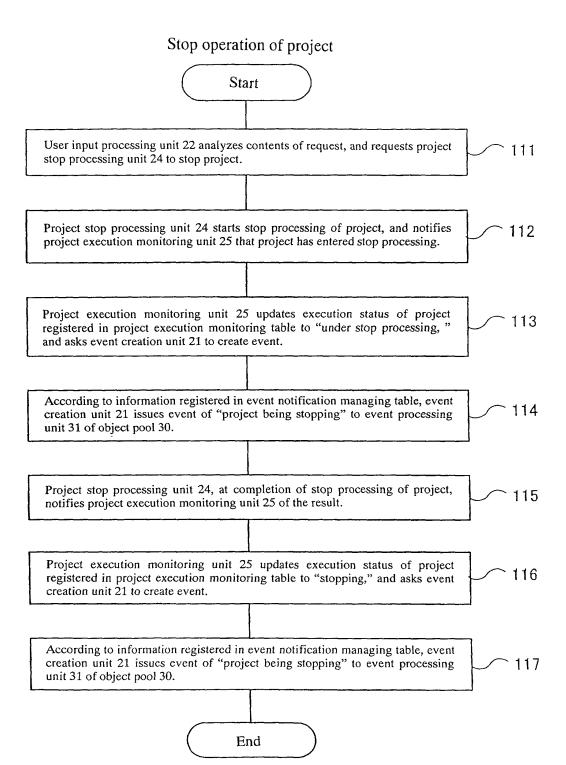
(8/21)

#### Start operation of project



[Figure 11]

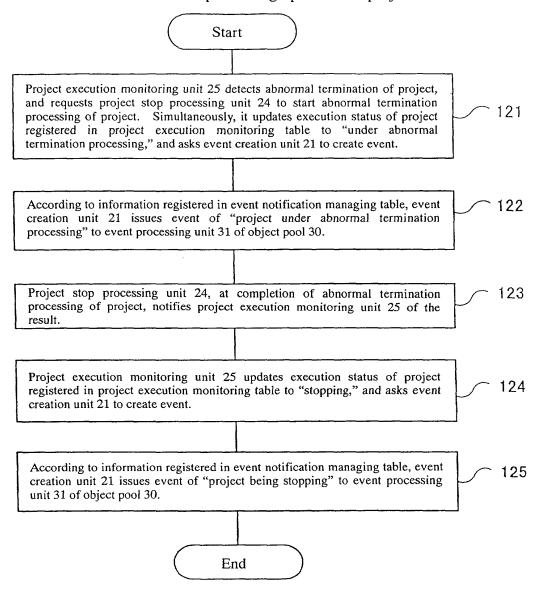
(9/21)



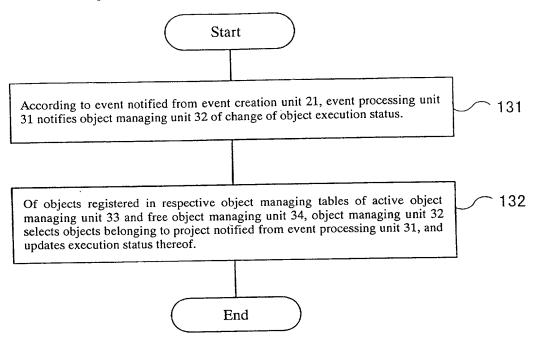
[Figure 12]

(10/21)

#### Abnormal termination processing operation of project



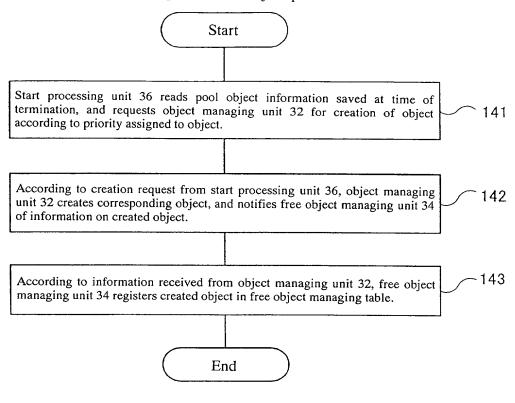
## Operation for event receiving in object pool



[Figure 14]

(12/21)

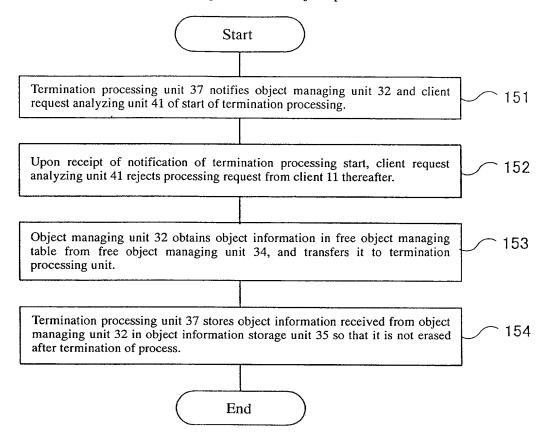
## Start operation of object pool



[Figure 15]

(13/21)

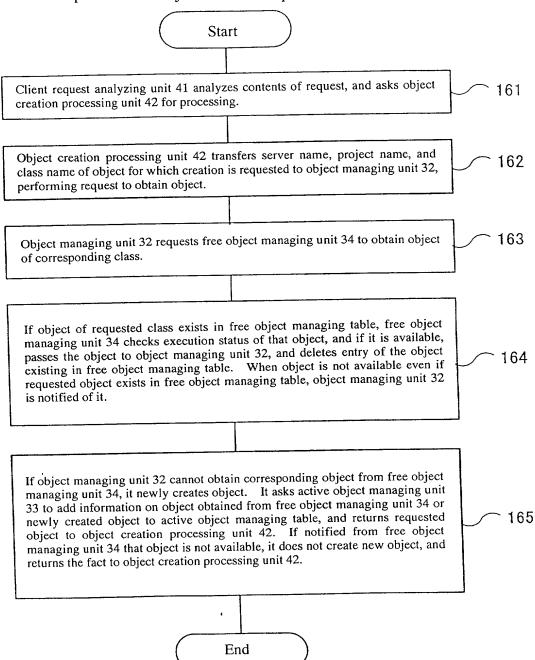
### Termination operation of object pool



[Figure 16]

(14/21)

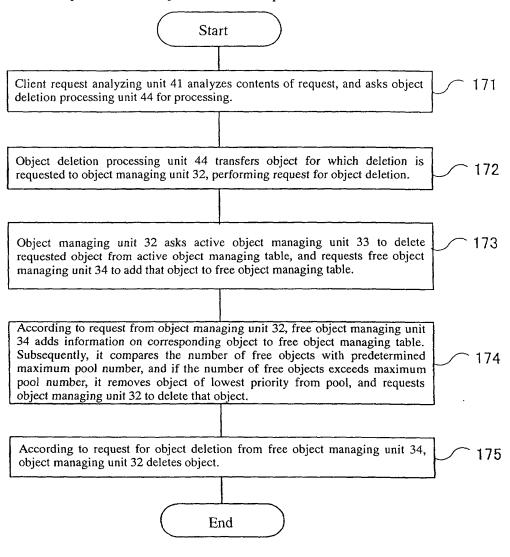
#### Operation for object creation request from client



[Figure 17]

(15/21)

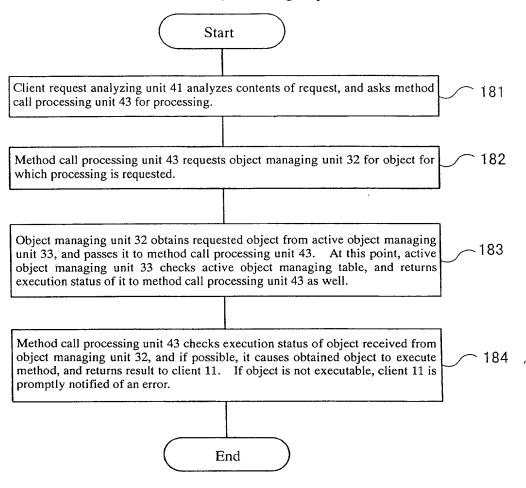
#### Operation for object deletion request from client



[Figure 18]

(16/21)

## Operation for method call processing request from client



[Figure 19]

(17/21)

Ф	•	•	•	•
Active object managing table	Class name	Class X	•	•
Active objec	Object ID	112233	•	•

(a)

	Last access tin	08:08:08	07:07:07		
	•	•	•	•	•
ø.	Class name	Class A	Class B		
Free object managing table	Priority	-	2	•	
Free object r	Object ID	223344	334455	•	

**(**p)

[Figure 20]

(18/21)

ole	•	•	•
Active object managing table	Class name	•	•
Active objec	Object ID	•	•

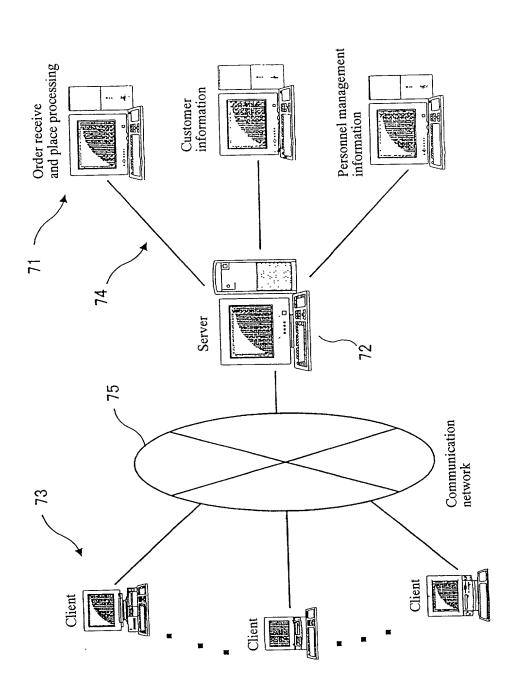
(a)

	Last access time	60:60:60	08:08:08	07:07:07	
	•	•	•	•	
σ)	Class name	Class X	Class A	Class B	
Free object managing table	Priority	-	2	က	
Free object r	Object ID	112233	223344	334455	

**(**q)

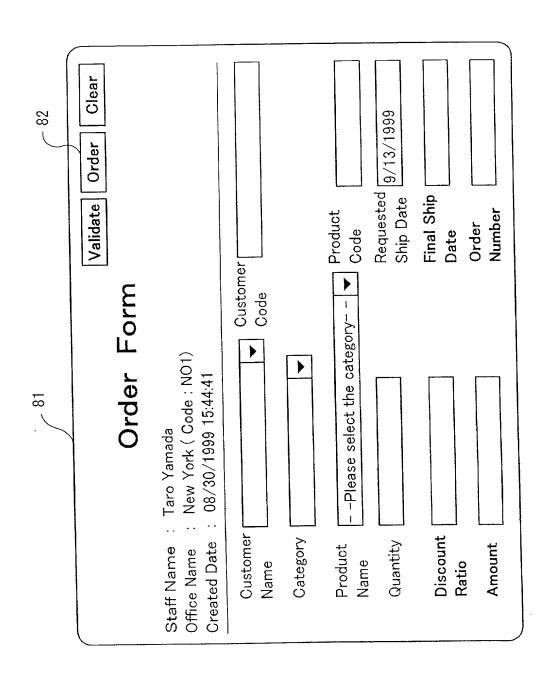
[Figure 21]

(19/21)

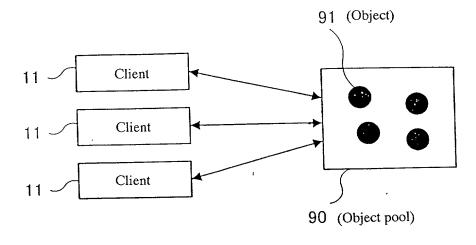


[Figure 22]

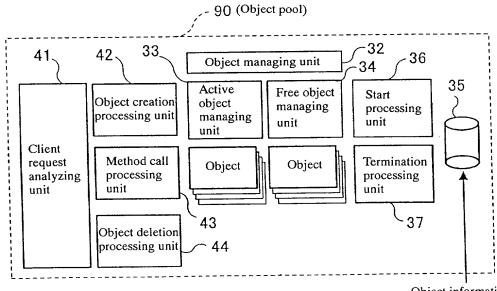
(20/21)



[Figure 23] (21/21)



[Figure 24]



Object information

Priority Class name

1 Class A

2 Class E

3 Class C

4 Class M

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#### Declaration and Power of Attorney for Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## CLIENT SERVER SYSTEM AND METHOD FOR EXECUTING AN APPLICATION UTILIZING DISTRIBUTED OBJECTS

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		was filed on	as Applicat	ion Serial No	<del>.</del>
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for noto	ent or in or inve	wantor's certificat	te listed below and have	e also identified below a	any foreign application(s) any foreign application for ation on which priority is
		oreign Applicatio er	n(s): Country	Day/Month/Year	Priority Claimed
	11-260	355	Japan	14/09/99	Yes
below a United §112, I	and, ins States Lackno Lin Title	ofar as the subject application in the wledge the duty to a 37. Code of Fed	at matter of each of the c manner provided by the manner provided by the matter of the control o	laims of this application in the first paragraph of Title material to the patental which occurred between	States application(s) listed is not disclosed in the prio e 35, United States Code bility of this application as in the filing date of the prio
		J.S. Applications: <b>N</b> o.	Filing Date	Status	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

A.B. Clay, Reg. No. 32,121; G. M. Doudnikoff, Reg. No. 32,847; E. H. Duffield, Reg. No. 25,970; J. W. Herndon, Reg. No. 27,901; J. S. Ray-Yarletts, Reg. No. 39,808; Gerald R. Woods, Reg. No. 24,144

Send all correspondence to:

A. Bruce Clay

IBM Corporation, Dept. T81/062

3039 Cornwallis Road RTP, NC 27709 919-254-6717 FAX: 254-4330

(1)	Inventor:	lwao Inagaki	
_	Signature:	Mas Dagahi	Date <u>03/80/2000</u>
	Residence:	2-12-1-201 Kodai, Miyamae-ku Kawasaki-shi, Kanagawa-ken Japan	
	Citizenship:	Japanese	
	Post Office Address:	Same	
(2)	Inventor:	Seiji Hamada	
_	Signature:	Jejiffan 6	Date . 03/35/2000
	Residence:	2700-1-1506 Kamitsuruma, Sagamihara-shi Kanagawa-ken Japan	
	Citizenship:	Japanese	
	Post Office Address:	Same	
(3)	Inventor:	Masahiro Ohkawa	
	Signature:	Maschito Ohkowa	Date <u>03/30/200</u>

Residence:

20-13-101 Asahi-cho, Sagamihara-shi

Kanagawa-ken

Japan

Citizenship:

Japanese

Post Office

Address:

Same

(4) Inventor: Naomi Zenge

Signature:

Residence:

2-48-2-202 Mizoguchi Takatsu-ku, Kawasaki-shi

Kanagawa-ken

Japan

Citizenship:

Japanese

Post Office

Address:

Same

(5) Inventor: Kaori Nanba

Signature:

Kavi namba

Date <u>03 /30/200</u>0

Residence:

1631-2-202 Ogawa,

Machida-shi

Tokyo Japan

Citizenship:

Japanese

Post Office

Address:

Same